

SSI: Board Resolution – Aug 11

Sai Gon Securities Incorporation (SSI) announced the board resolution dated August 11, 2014 as follows:

Article 1: The Board of Directors (BOD) approved transactions with related parties:

To approve transactions providing services, purchasing services, cooperating with contractors as major shareholders, inside shareholders or affiliated persons of major shareholders, inside shareholders of Sai Gon Securities Incorporation:

1. ANZ Viet Nam Ban, parent companies and its subsidiaries;
2. Daiwa Securities Group Incorporation and its subsidiaries;
3. Pan Pacific Corporation (PAN) and its subsidiaries;
4. NDH Viet Nam Co., Ltd and its subsidiaries;
5. Sunway Investment Joint Stock Company and its subsidiaries;
6. Members of the Board of Directors, the Board of Supervisors, Board of Management, Chief Accountant, Finance Director;

All transactions with related parties above must be:

- i. Below 20% of total assets of the Company according to quarterly financial statements at latest
- ii. Within normal course of business of the company and;
- iii. On fair and voluntary basis.

Article 2: The BOD approved to issue shares under employees stock ownership plan: To assign the Board of Directors to decide time, criteria and list of employees who are entitled to buy shares in this problem and to implement all necessary procedures in accordance with laws to issue shares in order to execute Article 7 of the Resolution No. 01/2014/NQ-DHDCD issued by the Annual General Meeting on April 25, 2014.

Article 3: The BOD approved to pay dividend for 2013: To assign the BOD Chairman to decided the record date entitled to receive this dividend and payment date in order to Article 2 of the Resolution No. 01/2014/NQ-DHDCD issued by the Annual General Meeting on April 25, 2014.

Article 4: This Resolution takes effect as from the signing date. The related individuals and departments are responsible for implementing this Resolution.